

Administrative Actions

This document outlines actions taken by the Coast Guard after determining that training providers, instructors, designated examiners, and/or qualified assessors failed to comply with regulatory requirements outlined in 46 CFR Part 10.

Actions Taken by Year

2025

Intent to Suspend notification issued for repeated non-conforming practices over multiple years/audits.

Course suspended for failure to follow the approved curriculum related to inoperable equipment at the approved practical training site. Training provider met conditions of suspension. Course reinstated.

Designated examiner certification revoked for fraudulent certification of a TOAR.

Qualified assessor certification revoked for inappropriate certification of assessments.

2024

Withdrawal of approval to instruct Coast Guard-approved courses due to repeated non-conforming practices over multiple years/audits.

Intent to Suspend notification issued for use of unapproved examinations, failure to follow approved curriculum, use of unapproved instructors/assessors, failure to have/use a compliant QSS, and repeated non-conforming practices.

Withdrawal of practical site approval; inappropriate pool depth for assessment.

Withdrawal of approval to instruct Coast Guard-approved courses for making fraudulent statements to the Coast Guard, not conducting live firefighting exercises, and not conducting full training curricula.

Instructor approval revoked for fraudulent credentialing activity.

Intent to Suspend notification issued for failure to establish a functional QSS, use of unapproved exams, and repeated non-conforming practices. Training provider responded appropriately. Case closed.

Instructor approval revoked for fraudulent credentialing activity.

Intent to Suspend notification issued for falsification of sea service and other records, failure to deliver approved curriculum, use of unapproved instructors, fraudulent examinations, and failure to maintain required documentation. Prior to suspension or withdrawal curricula expired.

Designated examiner certification revoked for fraudulent TOAR assessment.

Suspended approval to conduct multiple Coast Guard-approved courses after having been notified over a 2-year period to correct repeated failures in training delivery including not completing critical safety training, inappropriate assessments of competence, use of unapproved instructors and sites, and failure to deliver complete curriculum. Training provider responded appropriately, suspension was closed and approval to instruct reinstated.

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Actions Taken by Year

Withdrew approval to conduct multiple Coast Guard-approved courses for failure to conduct required practical assessments, modification of approval language on course completion certificates, delivering separate courses simultaneously, and failure to implement a QSS.

Intent to Suspend notification issued for issuance of improper course completion certificates and failure to make records available to the Coast Guard. Training provider responded appropriately. Case closed

2023

Withdrawal of approval to instruct Coast Guard-approved courses after failing to respond to requirements of suspension.

Instructor approval revoked due to suspension and revocation actions against their credential.

Withdrawal of approval to instruct Coast Guard-approved course for using unapproved instructors, not following approved curricula, instructors not being in the classroom or sleeping during scheduled instruction, falsifying rosters, allowing and encouraging students to collaborate on examinations, allowing use of mobile devices during examinations, and collaborating with students to cover up fraudulent examination scores.

Instructor approval revoked due to fraudulent credentialing activity.

Intent to Suspend notification issued for failure to conduct internal audits. Training provider responded appropriately. Case closed.

2022

Withdrawal of approval to instruct Coast Guard-approved courses due to falsification of records and examinations, truncating curriculum delivery. This resulted in federal conviction for defrauding the Coast Guard.

Withdrawal of approval to instruct Coast Guard-approved courses for not administering examinations as approved, using unapproved instructors and sites, failing to follow approved curriculum, failing to maintain required records, using unapproved examinations, and using unapproved instructors.

Instructor approval revoked due to fraudulent credentialing activity.

Intent to Suspend notification issued for issuance of fraudulent course completion certificates. Training Provider responded appropriately. Individuals received federal convictions. Case closed.

Withdrawal of multiple instructor approvals for perpetuating fraudulent credentialing activity.

Withdrawal of approval to instruct a single course due to degraded condition of practical training site.

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Actions Taken by Year

2021

Withdrawal of approval to instruct a single Coast Guard-approved course after failing to meet requirements of suspension for combining courses without approval, issuance of fraudulent course completion certificates, failing to complete and/or document assessments, and failing to maintain a viable QSS.

Withdrawal of approval to instruct Coast Guard-approved courses for failing to conduct live fire exercises during Basic Fire Fighting, knowingly delivering courses after expiration, failure to maintain required records, and creating fraudulent course completion certificates.

Suspended authority to deliver courses after allowing 90 days for response and correction of noted issues including using incorrect examinations, failure to maintain and falsifying required records, and truncating training. No response received, all courses were withdrawn.

Intent to Suspend notification issued for failure to follow approved curriculum (combined courses and modified schedule). Training provider responded appropriately. Case closed.

Instructor approval revoked for fraudulent credentialing activity.

Issued Intent to Suspend notification for incomplete records, failure to follow approved curricula, maintain course record of change, submit course modifications, conduct internal audits, and use a QSS, and using unapproved instructors and materials. Training provider responded appropriately. Case closed.

2020

Withdrawal of approval to instruct Coast Guard-approved courses for failure to follow approved curriculum, truncating course delivery, falsification of records and examination results. These actions resulted in a federal conviction.

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