

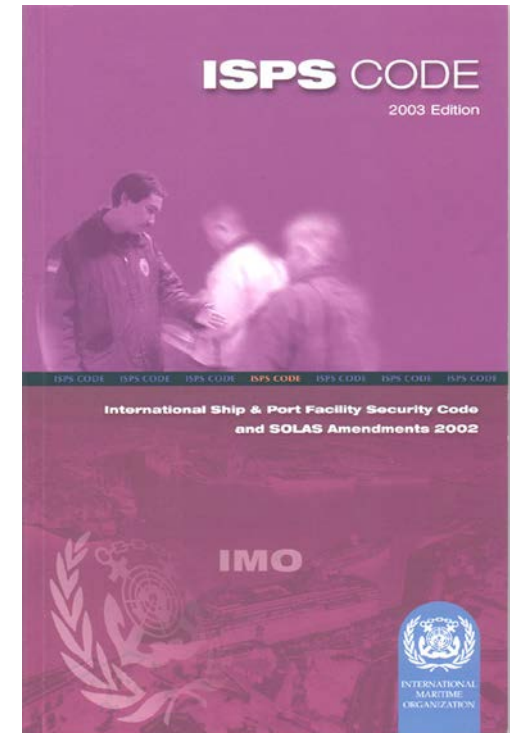


International Port Security Program



Port Facility Security Audit Seminar

Responsibilities of the
Contracting Government





Purpose



The goal of this lesson is to provide a general understanding of the responsibilities Contracting Governments have for auditing port facilities and their compliance with the ISPS Code.



Important!



The IPS Audit Seminar is not a “certification” program. Participation in and completion of the seminar in no way provides any official certification credentials.



Responsibilities of the Contracting Government



U. S. COAST GUARD



Responsibilities of the Contracting Government



1. How important are Port Facility Security audits to ensuring security?
2. What implications exist for facilities that do not regularly audit their security procedures?



Responsibilities of the Contracting Government



The basis for any port facility security audit is the port facility security plan (PFSP).

According to the ISPS Code, Contracting Governments have specific responsibilities related to auditing the PFSP.



Responsibilities of the Contracting Government



The ISPS Code states that:

“Contracting Governments shall, to the extent they consider appropriate, test the effectiveness of ship security plans or the port facility security plans, or of amendments to such plans, they have approved....”

(ISPS Code Part A, Section 4.4)





Responsibilities of the Contracting Government



Testing the effectiveness of security plans includes conducting an audit of the PFSP and the security measures outlined in the plan.





Responsibilities of the Contracting Government



The Code specifically states that the PFSP shall address procedures for auditing.

(ISPS Code, Part A, Section 16.3.13)





Responsibilities of the Contracting Government



Since the PFSP is the guiding security document for a facility's security measures, the PFSO must be responsible for facilitating a review or audit of the plan.

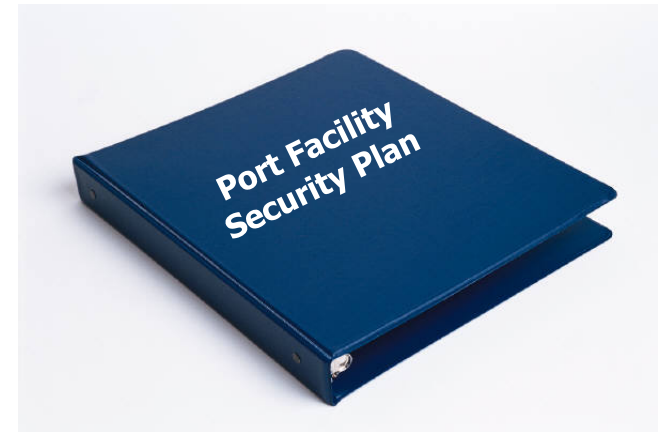




Responsibilities of the Contracting Government



The PFSP should establish how the PFSO intends to facilitate an audit of the continued effectiveness of the PFSP and the procedures to follow to review, update or amend the PFSP. *(Part B, Section 16.58)*

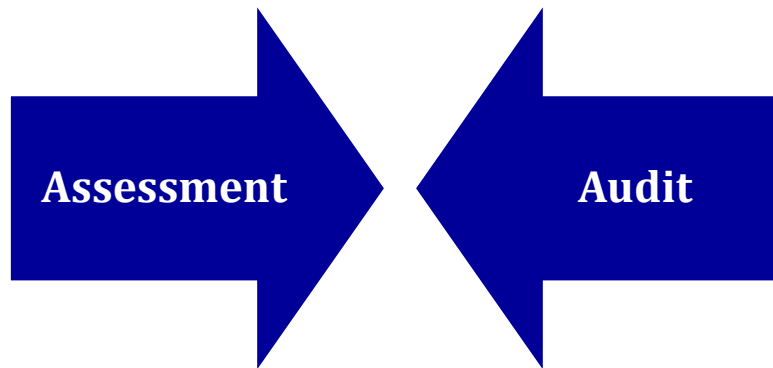




Responsibilities of the Contracting Government



It is important to note the difference between the Contracting Government's responsibility to conduct a port facility security **audit** and their responsibility to conduct an **assessment**.





Responsibilities of the Contracting Government



When conducting a port facility security assessment (PFSA), the main focus is on identifying threats, vulnerabilities and consequences, all of which are incorporated into the PFSP.





Responsibilities of the Contracting Government



Port facility security audits help to determine if the PFSP is adequately addressing those critical areas, if the PFSP addresses all appropriate requirements of the ISPS Code, and if procedures outlined in the PFSP are in practice.



Responsibilities of the Contracting Government



A Contracting Governments' responsibility for auditing the security of port facilities is directly related to compliance with the ISPS Code and ensuring the effectiveness of PFSPs.



Summary



What are the main points that you will take away from our discussion today regarding the Contracting Government's responsibility when it comes to auditing PFSPs?





Summary



The ISPS Code list specific Contracting Government responsibilities related to auditing the PFSP.

ISPS Code Part A
Sections 4.4 and 16.3.13



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Summary



There is a difference between the Contracting Government's responsibility to conduct a port facility security audit and their responsibility to conduct a Port Facility Security Assessment.



Summary



There is a difference between the Contracting Government's responsibility to conduct a PFSP audit and a facility's responsibility to conduct an assessment.



Summary



Audits help to determine if the PFSP addresses vulnerable areas identified in the PFSA and if procedures outlined in the PFSP are being followed.

