Lesson Topics

• ISPS Code Objectives
• ISPS Code Functional Requirements
• ISPS Code – Responsibilities of Contracting Governments
• PSA vs. PFSA; PSP vs. PFSP
The Objectives of the ISPS Code reflect the reasons why the Code was created. These objectives are fundamental to international security.
To achieve the objectives, the Code embodies a number of functional requirements, including “requiring ship and port facility security plans based upon security assessments.”
The ISPS Code outlines specific responsibilities of Contracting Governments related to port facility security.
Contracting Governments

Responsibilities:

• Setting the security level
• Issuing appropriate instructions for Security Level 3
Contracting Governments

Responsibilities:

- Recognizing which duties cannot be delegated to an RSO
- Testing the effectiveness of the ship and/or port facility security plans (or amendments) they have approved
Designated Authorities must also ensure that a PFSA is undertaken for each port and port facility within its territory.
Contracting Governments

Different facilities within the same port may have different operations, infrastructure and vulnerabilities.
Contracting Governments

The PFSA can be conducted by the Designated Authority or an RSO it authorizes.
Similarly, the development and revision of a PFSP is the responsibility of the facility’s PFSO having regard to the approved PFSA.
The PFSP can also be prepared by an RSO authorized by the Contracting Government.
A country’s Designated Authority is responsible for establishing the policies and procedures to be included in a PFSP on Declarations of Security and on the security incidents that should be reported and the timing of such reports.
When completed, the draft PFSP must be submitted to, and assessed and approved by, the Contracting Government or its Designated Authority for port security issues.
Ports vs. Facilities
Ports vs. Facilities

Often large ports have individual port facilities within their boundaries. These facilities may have separate operations, be independently owned and operated, or have interconnected operations.
In such cases where there are separate, independent facilities within the boundaries of a port, there will likely be a comprehensive **port security plan** (PSP) as well as an individual port **facility** security plan (PFSP).
Similarly, these plans are likely based on port security assessments (PSA) and port facility security assessments (PFSA), based on specific operations, infrastructure, and perceived vulnerabilities.
Responsibilities of Contracting Governments related to port facility security:

- Setting security levels
- Conducting a PFSA
- Developing a PFSP
# Contracting Governments

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<td>Issue instructions for Level 3</td>
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<td>Recognize non RSO duties</td>
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