

ISPS/MTSA Security Compliance Targeting Matrix

<i>I</i>	<i>II</i>	<i>III</i>	<i>IV</i>	<i>V</i>
SHIP MANAGEMENT	FLAG STATE	RECOGNIZED SECURITY ORGANIZATION	SECURITY COMPLIANCE HISTORY	PORT OF CALL HISTORY
ISPS II Owner, if new owner since last ISPS exam	ISPS II If new flag since last ISPS exam	ISPS I 3 or more RSO related major control actions in the past twelve months	ISPS I Vessel with an ISPS related denial of entry/expulsion from port in past 12 months ⁽³⁾	ISPS I Vessels having called upon, in their last 5 ports of call, ports listed in the Federal Register as not compliant with the ISPS code. Also refer to CG-543 monthly targeting update
5 POINTS Owner, operator, or charterer associated with one ISPS related denial of entry or ISPS related expulsion from port in the past 12 months, or 2 or more ISPS/MTSA control actions in a twelve month period	7 POINTS SOLAS Vessels ⁽¹⁾ Flag State has a CAR 2 or more times the overall CAR average for all flag States	5 POINTS 2 RSO related major control actions in the past twelve months	ISPS II If matrix score does not result in ISPS I priority & no ISPS compliance exam within the past 12 months	ISPS II If matrix score does not result in ISPS I priority above and if the port or country is designated ISPS II per the CG-543 monthly targeting update
	2 POINTS SOLAS Vessels ⁽¹⁾ Flag State has a CAR between the overall CAR average and up to 2 times overall CAR average for all flag States	2 POINTS 1 RSO related major control action in the past twelve months	5 POINTS Vessel with an ISPS/MTSA related detention in the past twelve months	ISPS II If matrix score does not result in ISPS I priority above and if the port or country is designated ISPS II per the CG-543 monthly targeting update
	7 POINTS Non-SOLAS Vessels ⁽¹⁾⁽²⁾ Flag State has a CAR 2 or more times the overall CAR average for all flag States		2 POINTS Vessel with 1 or more other ISPS/MTSA control actions in the past twelve months ⁽⁴⁾	CONDITIONS OF ENTRY PRIOR TO ENTERING U.S. For last 5 ports, list of countries and/or port facilities, as specified by Federal Register, found without effective anti-terrorism measures
TOTAL TARGETING SCORE				
<ul style="list-style-type: none"> • Vessels that score 17 points or higher are ISPS I vessels examined at sea prior to entering port. • Vessels that score between 7-16 points are ISPS II vessels are examined in port. • Vessels scoring fewer than 7 points are ISPS III vessels usually not subject to examination unless selected randomly. 				

- (1) Pertains solely to flag States with more than one major control action in a 12 month period.
- (2) Includes vessels from non-SOLAS signatory countries and non-SOLAS vessels from signatory countries.
- (3) COTP or OCMI may downgrade a vessel's priority from ISPS I to ISPS II, or ISPS II to ISPS III depending upon circumstances surrounding a denial of entry. If denial of entry is solely from failure to provide a Notice of Arrival prior to entry into the U.S., assign 2 points.
- (4) Includes vessel delays, restriction of operations, and restriction of movement related to vessel security deficiencies. Does not include routine examination of the ship or lesser administrative actions.