

## USCG Office of Commercial Vessel Compliance (CG-CVC) Mission Management System (MMS) Work Instruction (WI)



Category	Commercial Fishing Vessels				
	Commercial Fishing Vessel (CFV) Third Party Examination Program and Procedures				
Title	for Designation as an "Accepted O	rganization'	'or "Similarly	Qualified	Organization"
Serial	CVC-WI-019(1)	Orig. Date	01AUG19	Rev. Date	N/A
Disclaimer:	This WI provides guidance to the commercial fishing industry, TPOs, TPO Examiners, and the Coast Guard. While the guidance contained in this document may assist the industry, public, Coast Guard, and other Federal and State regulators in applying statutory and regulatory requirements. This guidance is not a substitute for applicable legal requirements, nor is it itself a rule. It is not intended to nor does it impose legally-binding requirements on any part. It represents the Coast Guard's current thinking on this topic and may assist industry, mariners, the public, and the Coast Guard, as well as other federal and state regulators, in applying statutory and regulatory requirements. You can use an alternative approach for complying with these requirements if the approach satisfies the requirements of the applicable statutes and regulations. If you want to discuss an alternative approach (you are not required to do so), you may contact the Coast Guard Fishing Vessel Safety Division (CG-CVC-3) at cgfishsafe@uscg.mil who is responsible for implementing this guidance.				
References:	<ul> <li>(a) Title 46, Code of Federal R</li> <li>(b) Chapter 45 of Title 46, Unit</li> <li>(c) Coast Guard Authorization</li> <li>(d) Coast Guard and Marine Tr</li> <li>(e) NVIC 02-95, CH-3 The Alt</li> <li>(f) Title 46, Code of Federal R</li> </ul>	ted States C Act of 2010 ransportation ternate Com	Code (USC) 0 (2010 CGAA n Act of 2012 ( apliance Progra	) (2012 CGM m (ACP)	,

- A. <u>Purpose</u>. This WI clarifies and consolidates existing Coast Guard Commercial Fishing Vessel Safety (CFVS) Program requirements related to dockside safety examinations and Third Parties that conduct such examinations.
- B. Action. The guidelines provided in this WI are to be used by Third Party Organizations (TPOs) [i.e. American Bureau of Shipping (ABS), Similarly Qualified Organizations (SQOs), and Accepted Organizations (AOs)], TPO Examiners, and Coast Guard Area/District CFVS Coordinators to ensure consistency in the Third Party Examination Program for Commercial Fishing Vessels. Navigation and Vessel Inspection Circular (NVIC) 13-91, Fishing Industry Vessel Third Party Examination and Procedures for Designation of "Accepted Organizations" and "Similarly Qualified Organizations", NVIC 13-91 CHANGE 1, and G-MOC Policy Letter 04-07, Fishing Industry Vessel Third Party Examination Program, have been cancelled.

#### C. Background.

- 1. The purpose of the CFVS Third Party Examination Program is to leverage use of established vessel surveying professionals in the maritime industry to supplement the Coast Guard's CFVS Examiner workforce. This third party examination program is separate from the Alternate Compliance Program promulgated in reference (e). Additionally, the TPOs referred to herein are not considered the same as the TPOs that conduct audits and surveys for towing vessels as required by 46 CFR Subchapter M Towing Vessels. The Third Party Examination Program is intended to utilize the services of marine surveyors to facilitate regulatory compliance and improve the safety of commercial fishing vessels (CFVs) and their crews. Qualified individuals from a TPO may conduct voluntary and mandatory dockside safety examinations of CFVs, as well as issue Coast Guard Fishing Vessel Safety Decals (CFVS Decal) and Certificates of Compliance (COCs) on behalf of the Coast Guard or as required by regulation.
- 2. The Coast Guard has a long-standing and beneficial relationship with ABS, other SQOs, and AOs. As per reference (a), these organizations are entities defined in federal statute or regulations and the Commandant may designate them to perform technical services or conduct CFVS examinations on behalf of the Coast Guard. The success of the Third Party Examination Program is contingent upon the TPOs maintaining high standards and qualifications of their surveyors and examiners. Enclosure (1) provides information on the requirements for TPOs and the Examination Program. Enclosure (2) outlines the responsibilities of the TPOs and the Coast Guard under the Examination Program. Enclosures (3), (4), and (5) provide guidance and information on reporting activities, Coast Guard points of contact, and record keeping that will help promote consistency and integrity within the Examination Program.
- D. <u>Instruction</u>. The commercial fishing industry, TPOs, TPO Examiners, and Coast Guard personnel should consult the enclosures to this WI for guidance regarding specific requirements and responsibilities associated with the (CFV) Third Party Examination Program as well as the procedures for designation as an "Accepted Organization" or "Similarly Qualified Organization".
- E. <u>Questions</u>. Questions concerning this WI should be directed to the Office of Commercial Vessel Compliance, Fishing Vessel Safety Division (CG-CVC-3) at <u>cgfishsafe@uscg.mil</u>.

M. EDWARDS Captain, U.S. Coast Guard Chief, Office of Commercial Vessel Compliance By direction

Enclosures: (1) Third Party Examination Program Requirements

- (2) Table of Responsibilities
- (3) Content of Third Party Examination Reports
- (4) Points of Contact for USCG Area and District CFVS Coordinators
- (5) Third Party Examination Program Requirements Review-Oversight Checklist

#### <u>U.S. Coast Guard CFVS</u> Third Party Examination Program Requirements

#### 1) Discussion.

- a. Designation as an "Accepted Organization" (AO).
  - 1) As defined in 46 CFR 28.50, an AO is an organization that has been designated by the Commandant (CG-CVC) for the purpose of examining Commercial Fishing Vessels (CFVs) under the provisions of 46 CFR 28.73. An organization desiring to be designated as an AO by the Coast Guard must request such designation in writing to the Fishing Vessel Safety Division (CG-CVC-3) and provide documentation of compliance with the following criteria:
    - i) A copy of the organization's Code of Ethics.
    - ii) Records, which reflect the organization has current procedures that ensure each of its surveyors conducting examinations is familiar with current federal requirements applicable to U.S.-Flagged CFVs. The method used for maintaining this documentation is left to the organization. Methods for ensuring surveyor qualifications may include specified training courses and/or topical examinations. The content of such courses and examinations shall be available for review by CG-CVC-3 upon request. Each surveyor entrusted by an AO to carry out CFV examinations must have access to and be familiar with current applicable sections of the U.S. Code, CFRs, materials incorporated by reference in the applicable regulations, Coast Guard Navigation and Vessel Inspection Circulars (NVICs), Coast Guard Policy Letters, and any region-specific fishing vessel safety guides.
    - iii) Documentation that the organization's surveyors conducting CFV examinations are familiar with the operations and equipment on board CFVs. Having direct fishing industry experience or successfully completing supervised examinations are examples of methods to document this proficiency. It is expected that organizations will have requirements for continuing education including periodic review of any changes to fishing vessel requirements and Coast Guard policies. Each organization must maintain such documentation and have it available for Coast Guard review upon request.
    - iv) Verification that the organization has no conflict of interest with respect to the fishing industry and conducting safety examinations. An AO's only interest in the commercial fishing industry must be in surveying and ensuring the safety of CFVs.

- v) A copy of the organization's established grievance procedures. Each AO must be able to provide its clients with written information on how to resolve disputes relative to Coast Guard regulations and AO surveyors' decisions. Clients should be advised that disputes, which cannot be resolved between the organization and owner, should be forwarded to the local Coast Guard OCMI for decision. The Coast Guard expects each AO to make every effort to address and resolve disputes directly and provide the results of the resolution in writing to the local OCMI.
- vi) A summary of the organization's procedures for accepting and terminating individuals, including the minimum professional qualifications for surveyors. Qualification procedures should ensure that only competent, experienced, and knowledgeable surveyors are employed to conduct CFV examinations. The organization should also include a board or peer review to approve a surveyor to conduct fishing vessel examinations.
- vii) Acknowledgement that the organization maintains a roster of members specifically qualified and entrusted to conduct CFV safety examinations. The roster must include information on all currently qualified surveyors and examiners, and all previous surveyors and examiners dating back the previous five (5) years. This list shall be kept current at all times, and submitted annually to the Coast Guard and upon request.
- viii) Verification that the organization has an Apprentice/Associate program for surveyors. The Coast Guard expects each organization to facilitate development of professional expertise, including continuing education. This provision will also allow for other marine surveying disciplines to crossover to CFV surveying and safety examinations. Procedures for such programs must be maintained by the organization and be available for Coast Guard to review upon request.
- 2) AOs will be responsible for evaluating and maintaining CFVS examination-related qualifications of their surveyors who have been approved and designated to conduct dockside safety examinations on CFVs.

### b. <u>Designation as a "Similarly Qualified Organization" (SQO)</u>

- 1) As defined in 46 CFR 28.50, an SQO is an organization, which has been designated by the Commandant (CG-ENG) for the purpose of classing or examining Commercial Fishing Industry Vessels (CFIV) under provisions of 46 CFR 28.76. An organization desiring to be designated as an SQO by the Coast Guard must request such designation in writing. At a minimum, the organization must verify that it meets the following:
  - i) Publishes standards for vessel design and construction, which are widely available and similar in content to the standards published by ABS;

- ii) Performs periodic surveys and dry-dock examinations in a wide range of localities during and after vessel construction in a manner similar to ABS;
- iii) Issues certificates testifying to compliance with its published standards;
- iv) Has as its primary concern the survey and classification of vessels;
- v) Has no interest in owning or operating fishing, fish processing, or fish tender vessels; and
- vi) Maintains records of surveys and makes such records available to the Coast Guard upon request in a manner similar to ABS.
- 2) SQOs will be responsible for evaluating and maintaining qualifications of its personnel.

#### c. Special Consideration Vessel Types

- 1) Fish Processing Vessels. The CFVS regulations in 46 CFR Part 28, Subpart F, stipulate that each fish processing vessel which is not subject to inspection under another section of the Code of Federal Regulations must be examined, at least once every two (2) years, for compliance. These biennial examinations must be examined for compliance by ABS, a SQO, or an AO surveyor at least once every two (2) years. After the vessel is examined and found to be in compliance with applicable regulations, a written certification of compliance must be issued to the owner or operator and retained on board the fishing vessel until expired. The vessel should also be issued a serialized Coast Guard CFVS Decal.
- 2) Aleutian Trade Act Fish Tender Vessels. 46 CFR Part 28, Subpart G, stipulates that a commercial fish tender vessel that qualifies per the terms of 46 CFR Part 28.800(a) engaged in the Aleutian Trade must be examined for compliance by the ABS, a SQO, or a surveyor of an AO at least once every two (2) years. After the vessel is examined and found to be in compliance with applicable regulations, a written certification of compliance must be issued to the owner or operator and retained on board the fishing vessel until expired. The vessel should also be issued a serialized Coast Guard CFVS Decal. TPO's will obtain CFVS Decals from (CG-CVC-3).
- 3) Other Fish Tenders and CFVs. TPO's may conduct a 2-year cycle examinations on other CFIV's if requested by the owner or operator. The 2-year cycle examination must be conducted to ensure compliance with the same requirements laid out for a regular 5-year examination as set forth in Chapter 45 of Title 46 U.S.C. and 46 CFR Part 28, and Coast Guard CFVS examination program policies. Upon successful completion of an examination, the vessel is to be issued a serialized Coast Guard CFVS Decal that is marked as valid for a period of two years. TPOs may issue a written certification of compliance to a vessel that successfully completes the mandatory examination. TPO's will obtain CFVS Decals from (CG-CVC-3).

#### d. Implementation.

- 1) Oversight of the Third Party Examination Programs and the review and approval process of AOs and SQOs, will be managed by Commandant (CG-CVC-3). All requests for Coast Guard designation as either an AO or SQO must be submitted in accordance with applicable federal regulations (46 CFR 28.73 and 46 CFR 28.76 respectively) and forwarded to the Commandant CG-CVC or CG-ENG as noted in paragraphs 1.a.1. and 1.b.1. above. Designation requests will be evaluated and a final determination of acceptance or denial will be provided in writing. The Commandant can rescind letters of designation for failure to comply with regulatory requirements and/or the responsibilities.
- 2) Commandant (CG-CVC-3) will provide each designated AO and SQO with the necessary stock of serialized CFVS decals upon request, as well as copies of Coast Guard policy, interpretation, instruction, and guidance documents to help ensure consistent application of federal regulations and Coast Guard requirements on CFVs.
- 3) Commandant (CG-CVC-3) will maintain a current roster of third party examiners using information provided by AOs and SQOs, and ensure that the list is available to Coast Guard Area, District, and Sector CFVS Coordinators to identify those examiners providing services to fishing vessels in their respective AORs. The list will be reviewed/updated annually.
- 4) Coast Guard Area and/or District CFVS Coordinators will provide an indoctrination and regional familiarization of their AOR to third party examiners upon request, and provide local job aids and related reference materials. Coast Guard District CFVS Coordinators and local OCMIs are encouraged to host a biennial meeting for third party examiners working in their respective AORs to review current policy and procedures, any updates to requirements, and address relevant emerging trends or changing policies.
- 5) Commandant (CG-CVC-3), Area and District CFVS Coordinators, and Sector CFVS Examiners are available to receive and monitor any feedback from fishermen and vessel owner/operators to ensure that high standards are maintained by third party examiners.<sup>1</sup>
- 6) Coast Guard CFVS Program personnel should make this policy letter available to the commercial fishing industry and ensure fishermen and vessel owner/operators understand that Coast Guard approved TPO examiners may conduct mandatory or voluntary dockside safety examinations on their vessels.<sup>2</sup>

<sup>&</sup>lt;sup>1</sup> Comments and feedback on CFV examinations can be submitted to <u>cgfishsafe@uscg.mil</u>. <u>This contact information is also noted on the bottom of the Dockside Examination Form (CG-5587)</u>.

<sup>&</sup>lt;sup>2</sup> This policy letter is available at <a href="https://www.dco.uscg.mil/Fishsafe">https://www.dco.uscg.mil/Fishsafe</a> (navigate to Policy Letter dropdown)

- 7) The success of the Third Party Examination Program is directly dependent upon the TPO's management of their participating surveyors. All third party examiners are expected to have the knowledge and ability to verify that a CFV complies with the regulations, be able to interpret statutory and regulatory requirements, and understand Coast Guard policies applicable to CFVs for which they have been granted authority to examine. To that end, TPOs should have an <u>internal review program</u> that periodically checks the quality and accuracy of CFV examinations being performed. In addition, the TPO should have all their participating surveyors undergo periodic refresher training to ensure their proficiency and keep their knowledge base current.
- 8) TPOs should designate a "Program Manager" who will oversee and manage the organizations CFV Third Party Examination Program and their participating surveyors. CG-CVC-3 shall maintain a current list of designated TPO Program Managers including contact information.
- 9) A biennial review of TPO records regarding examinations and to ensure compliance with statutory requirements should be conducted by the Coast Guard. This may be accomplished on site at the TPO office location or by other means as determined by the Coast Guard. The biennial review will generally be conducted by Commandant (CG-CVC-3) staff, but may be conducted by the CFVS Coordinator from the district in which the third party office is located. CG-CVC-3 may schedule additional visits as situations warrant.
- e. <u>Annual Reports</u>. CG-CVC-3 requests that TPOs provide an annual written report of all their CFV examination activities initiated or completed that includes the information listed in enclosure (3). The report shall be in such a format that the information can be filtered by exam location, date of the exam, examiner name, CFVS Decal serial number (if issued), vessel name, and vessel official number. The report shall include the name of the TPO Program Manager and a listing of currently active and fully qualified individuals approved to conduct CFV examinations on behalf of the organization. The TPO's report shall be submitted each year by January 30<sup>th</sup> for the previous year's activities, and shall be sent to the Coast Guard (CG-CVC-3), who will ensure a copy is provided to the Area and District CFVS Coordinators. The report should be sent electronically to cgfishsafe@uscg.mil.

#### f. Examination Process and Reporting.

1) Individuals authorized by their organization to conduct CFVS examinations should establish contact with the cognizant Coast Guard District CFVS Coordinator to ensure familiarity with local/regional procedures and to establish effective liaisons with Coast Guard Sector/MSU CFVS Examiners within the area in which they will conduct examinations. A current list of Coast Guard District CFVS Coordinators is located at <a href="https://www.dco.uscg.mil/Fishsafe">https://www.dco.uscg.mil/Fishsafe</a>.

- 2) District CFVS Coordinators should have visibility of the third party examiners who are conducting examinations within their Area of Responsibility (AOR) and review any reports or feedback from fishermen regarding third party examiners.
- 3) In order for the Coast Guard to conduct proper oversight of third party examinations, the CFVS District Coordinator should be notified of an impending examination in their AOR prior to the examination taking place.
- 4) It should be noted that while CFV examinations performed by the Coast Guard do not incur a fee, one may be assessed to the vessel owner or operator by a third party for conducting a safety examination.
- 5) Upon successful completion of a CFV Dockside Examination, the TPO examiner shall endorse the completed form (CG-5587) and insure that the name of the TPO they represent is entered onto the form. The TPO examiner that conducts/completes the examination shall ensure, when a decal is issued, that relevant information is entered where appropriate, (e.g., area of operation, expiration date, etc.). The issued decal should then be placed on the CFV windshield's glass, on the starboard side of the wheelhouse, in a position where it can be viewed without obstruction. If, at any time during a Dockside Examination, a TPO examiner has a question or is not sure how to proceed, they are encouraged to reach out to the nearest USCG Sector or Marine Safety Unit (MSU) CFV Examiner for consultation.
- 6) Upon request from the TPO's program manager, the Commandant (CG-CVC-3) will issue serialized CFVS Decals. A record of the CFVS Decals issued and their corresponding serial numbers will be maintained by Commandant (CG-CVC-3) and will be made available to District CFVS Coordinators upon request. The cognizant District CFVS Coordinator or Sector CFVS Examiner can provide supplemental materials such as CFVS promotional items and/or CFVS Examination Form (CG-5587) booklets to third party examiners upon request. Current form (CG-5587) are available at <a href="https://www.dco.uscg.mil/Fishsafe">https://www.dco.uscg.mil/Fishsafe</a>.
- 7) TPOs should forward completed written examination reports to the cognizant Coast Guard District CFVS Coordinator within 15 business days of the completion of CFV examinations. Failure to meet requested deadline may result in delay of CFV's examination being properly recorded with the Coast Guard. The most expedient method for providing the report is via e-mail with the report attached as a PDF file. Details and content of third party examination reports are listed in enclosure (3).
- 8) The Coast Guard District CFVS Coordinator receiving the examination report shall ensure documentation of the third party examination report is properly entered into the Coast Guard's Marine Information for Safety and Law Enforcement (MISLE) database within (5) five business days of receipt. A copy of the report or notice of the MISLE activity entry, including any feedback, should be provided to the cognizant Sector where the third party examination took place.

- 9) A TPO may follow-up, for quality control, by accessing Port State Information Exchange (PSIX): <a href="https://cgmix.uscg.mil/psix/">https://cgmix.uscg.mil/psix/</a> to ensure that their examination information has been entered into the MISLE database.
- 10) Commandant (CG-CVC-3) will ensure TPOs have access to copies of appropriate policy and guidance documents that may be needed in order to carry out their responsibilities when conducting CFVS dockside examinations.
- 11) If an Officer in Charge, Marine Inspection (OCMI) determines that there is justification to rescind an individual third party examiners designation, the OCMI shall notify the TPO fishing vessel examination program manager, and alert them of the reason. The TPO may develop a report documenting actions taken to investigate and remedy the situation as may be appropriate. If the OCMI is not satisfied with the response, the OCMI should forward the matter to CG-CVC-3 for review/action (copying the District Fishing Vessel Coordinator). Any determination or resolution will be provided in writing to the TPO. Copies of any correspondence regarding such matters will be provided to the cognizant Area, District, and Sector Commander.
- 12) If an Officer in Charge, Marine Inspection (OCMI) determines that there is justification to rescind a TPO designation, the OCMI shall notify CG-CVC-3 (and copy the Fishing Vessel District Coordinator) of the concern. CG-CVC-3 will investigate areas of concern and initiate actions as may be appropriate.
- 13) A TPO or person(s) affected by a decision made by the Coast Guard may make formal written appeal of that decision, per reference (f).

Request for Changes. Any recommendation for changes to or questions regarding contents of this Work Instruction and implementation of the Third Party Examination Program should be directed to the Chief, Fishing Vessel Safety Division (CG-CVC-3), U.S. Coast Guard Headquarters, via email: <a href="mailto:cgfishsafe@uscg.mil">mailto:cgfishsafe@uscg.mil</a> or by phone at (202) 372-1249. Normal office hours are Monday through Friday 8:00 a.m. – 4:00 p.m. Eastern time.

## **Table of Responsibilities**

Item	Responsibility	Task
1	USCG	<ul> <li>COMDT (CG-CVC-3) will</li> <li>Approve TPO's.</li> <li>Maintain a list of Third Party contacts and certifications, and a list of surveyors and districts in which they conduct exams.</li> <li>Maintain CFVS Decals and forms</li> <li>Maintain Third party annual reports</li> <li>Conduct an audit/visit of TPOs every 2-years (biennially)</li> </ul>
2	Third Party	Should notify the appropriate District CFVS Coordinator as soon as practical after a CFV exam has been scheduled on a vessel within that district.
3	USCG	Districts will inform the appropriate Sector or Marine Safety Unit (MSU) of a scheduled third party exam in their AOR.
4	USCG	<ul> <li>Sectors/MSUs will</li> <li>Notify the third party examiner of any intention to attend the exam <u>for oversight purposes</u>.</li> <li>Notify the District Coordinator their intent to attend an exam.</li> <li>Report the results of any witnessed exam to the District Coordinator.</li> </ul>
5	Third Party	Submit examination report within 15 business days to the appropriate District CFVS Coordinator.
6	USCG	District Coordinators will ensure the exam report and activity are entered into the MISLE database within (5) business days of receipt.
7	Third Party	Recommend follow up with USCG to ensure the exam activity has been entered and credited.  • TPO's may exercise quality control by accessing PSIX - The Port State Information Exchange website.  • https://cgmix.uscg.mil/PSIX/PSIXSearch.aspx  • Search PSIX to verify if exam activity has been entered:  • Enter the vessel's name in "Vessel Name" field.  • Enter vessel's O.N. in "Primary Vessel Number" field.  • SELECT the "Search" button.  • If the exam activity is not present, contact the USCG District CFVS Coordinator.

8	Third Party	CG-CVC-3 requests an annual report of activities be sent with listing of:
		<ul> <li>All fishing vessel exams conducted, in format:         <ul> <li>Filterable by: Examiner Name - Exam Location – Date – Name of Vessel – Official Number – Decal Number (if issued) – Note if COC Issued</li> </ul> </li> <li>Active Third Party surveyors including the Coast Guard Districts in which they conduct fishing vessel exams.</li> </ul>

<sup>&</sup>lt;sup>1</sup> Annual report template is available at <a href="https://www.dco.uscg.mil/Fishsafe">https://www.dco.uscg.mil/Fishsafe</a>

## **Content of Third Party Examination Reports**

1	Examiner's Name.
2	Organization's Name.
3	The date(s) and location (address, city, state) where the examination was conducted.
4	The name and official number/state-registration number of the vessel.
5	A statement about whether or not the vessel was found to comply with the federal requirements applicable to the specific vessel and its operating area.
6	The serial number of a CFVS Decal issued and the period of validity.
7	A copy of a COC, if issued. * as applicable
8	A copy of the Load Line Certificate on load lined vessels. * as applicable
9	A copy of the Certificate of Class on classed vessels. * as applicable
10	A copy of the CFVS Exam Form (CG-5587) fully completed. (An organization's inhouse form, or one developed and used by a surveyor, is acceptable if it includes all of the items contained in the CG-5587.)
11	Details of lifesaving and other applicable equipment inspection or servicing, including their marked expiration dates, and EPIRB hexadecimal identification code.
12	A statement as to whether or not the Coast Guard was notified that the examination was to be conducted in their area; and who was notified.
13	A listing of all deficiencies found during the exam and a notation as to which ones were corrected during the exam, or during a follow-up check.
14	A statement by the examiner describing the general overall condition of the vessel.
15	A photo of the vessel depicting, at a minimum, the vessel's side profile and condition on the date of exam.

# **Points of Contact for U.S. Coast Guard Area and District CFVS Coordinators**

Atlantic Area – Portsmouth, VA – 757-398-6565

1<sup>st</sup> District – Boston, MA – 617-223-8440

5<sup>th</sup> District – Portsmouth, VA – 757-398-7766

7<sup>th</sup> District – Miami, FL – 305-415-6868

8<sup>th</sup> District – New Orleans, LA – 504-671-2154

9<sup>th</sup> District – Cleveland, OH – 216-902-6343

Pacific Area – Alameda, CA – 510-437-3220

11th District – Alameda, CA – 510-437-5931

13<sup>th</sup> District – Seattle, WA 206-220-7226

14th District – Honolulu, HI – 808-535-3417

17<sup>th</sup> District – Juneau, AK – 907-463-2810

## <u>U.S. Coast Guard CFVS</u> <u>Third Party Examination Program Review/Oversight Checklist</u>

ORG	ANIZATION:		
	E OF PROGRAM MANAGER: NE/E-MAIL:		
TYPE	CVISIT: Reason:		
1	Letter of Designation to conduct exams issued/on file?	S	NO
2	Notification(s) to Coast Guard of newly qualified examiners?	S	NO
	Date(s), office(s) to which sent?		
	Comments:		
3	Roster of qualified and designated CFV examiners/surveyors YES	N	О
	Designated in writing to perform CFV exams, including type(s) of vessels?	YES	NO
	Record of number and type of exams conducted and decals issued?	YES	NO
	Documentation of examiners' proficiency (training, industry experience)?	YES	NO
	Comments:		
4	Procedures for professional development and maintaining expertise?	YES	NO
	How qualifications and information on CFV requirements maintained?	YES	NO
	Comments:		
5	Reports, comments, and/or feedback from fishermen and vessel operators?	YES	NO
	Have any been received or reported?	YES	NO
	What were the topics or issues?		

6	Record of Serially-Numbered USCG Safety Decals?	YES	NO	
	Numbers received? Numbers Issued and to which examiners? Numbers destroyed?			
7	Examination reports sent to the Coast Guard?	YES	NO	
	Were reports sent to District CFVS Coordinators within 15 days of exam? If not, why?	YES	NO	
	What exam record format is used: CG Form 5587? In-house form? (copy)			
	<ul> <li>Examiners name?</li> <li>Organization name?</li> <li>Statement that vessel is in compliance with applicable regulations?</li> <li>Serial Number of decal issued?</li> <li>Copy of COC (If issued)?</li> <li>Vessel's Name and O.N. (CG Vin or State Registration Number)?</li> <li>Date and location where examination was conducted?</li> <li>Coast Guard's local office notified?</li> <li>Copy of examination form (CG-5587 or in-house) as applicable?</li> <li>Details of equipment inspections, testing, servicing, and expirations</li> <li>List of deficiencies found and when corrected?</li> <li>Statement as to overall condition of the vessel?</li> <li>Photo(s) of the vessel?</li> </ul>	?		
8	Vessel condition survey or survey for classification purposes?	YES	NO	
	Was either performed at the same time as the exam? What were the results?			