



Homeland
Security



List of Targeted Recognized Security Organization (RSO)

Recognized Security Organization Filtering Guidelines for Security

The following Flag States listed below were on the 2005 Targeted Flag List but are not on the 1

All major control actions (i.e. denial of entry, expulsion or ISPS detention) imposed upon non-U.S. flagged vessels are reported to Coast Guard Headquarters (CG-543-2) for review and then forwarded to the International Maritime Organization (IMO). During the review process, CG-543-2 determines whether the major control action was related to the statutory activities conducted by the Recognized Security Organization (RSO) on behalf of the vessel's Flag State. The following guidelines are used to determine if a major control action is RSO related:

1. The following deficiencies will be considered RSO-related if a vessel is subject to a major control action within 90 days of an applicable survey performed by an RSO:
 - a. Serious deficiencies relating to security equipment or arrangement (e.g., missing or improperly maintained equipment)
 - b. Lack of effective and systematic implementation of a requirement of the Ships Security Plan.
 - c. Ineffective Ship Security Plan approved by the RSO
 - d. SSO/Master not competent in security duties (only if these specific individuals participated in the verification survey)
2. The following deficiencies which would lead to a major control action will be considered RSO-related regardless of the elapsed time from the last applicable survey:
 - a. Long-standing, serious deficiencies relating to security (e.g. records, audits, training)
 - b. Improper interim ISSC.

The following deficiencies will not be considered RSO-related:

1. Expired ISSC.

2. Other crew anomalies (individual incompetence, unaccounted personnel, fraudulent documents)
3. Failure of human factor issues, such as operational drills and tests

The recognized security organization shall be notified in writing by CG-543-2 of each RSO-related major control action, and informed of their appeal rights. When determining elapsed time between the major control action and survey, the actual date of RSO survey shall be used instead of the date the Certificate was issued.

The Coast Guard will target RSO's based on the number of RSO-related major control actions during the past 12 months. This determination is made monthly with the following criteria:

ISPS I:	3 or more
5 Points:	2 or more
2 Points:	1 or more

